



IRONSHORE INDEMNITY, INC.

(A Stock Company)

PO Box 3407

New York, NY 10008

(877) IRON-411

COPYRIGHT, PATENT AND TRADEMARK SUPPLEMENT

Firm Name: _____

Policy Number: _____

Answers are required for questions 1 through 5 for all Applicants. Complete the remaining questions that apply to the firm's specific practice. If one or more of the sections is not applicable, check the "Not Applicable" box in that section.

1. Provide a breakdown of the firm's copyright, patent and trademark practice into the following categories:

- a. Intellectual Property Litigation _____ %
- b. Patent Infringement Counseling _____ %
- c. Domestic Patent Prosecution _____ %
- d. Foreign Patent Prosecution _____ %
- e. Trademark Registration/Licensing _____ %
- f. Copyright Registration/Licensing _____ %
- g. Patent Searches _____ %

2. Does the firm have a computerized docketing system to alert the appropriate responsible party specific to:

- a. statutory bar dates Yes No
- b. fee due dates, whether outsourced or not? Yes No
- c. response dates? Yes No

3. Who reviews the docket entries for accuracy? **Check all that apply.**

- Billing Partner Partner in Charge of Work Associate
- Paralegal Secretary Secretary Docketing Personnel

4. Does the firm outsource to other entities for:

- a. Searches Yes No
- b. Payment of Maintenance/Annuity fees? Yes No

If yes, to either a. or b. above, does the firm:

- i. Verify the outsource entity carries professional liability insurance coverage Yes No
- ii. Obtain proof of insurance, such as a certificate of insurance Yes No

5. How does the firm choose an outsource entity? **Check all that apply.**

- Review of Work Product Recommendations from Other Law Firms
- Yellow Pages Advertisements in Legal Publications/Law Journal

Copyright Not Applicable

6. Does the firm's docket system include dates for:

- a. Copyright renewal filing? Yes No
- b. responses to an Office Action? Yes No
- c. infringement action filing? Yes No

7. What is the firm's standard time frame for applying for copyright registration on behalf of their client, once instructed to do so by the client? _____

8. Is transfer of ownership of copyright from one client to another fully documented in writing? Yes No

Patent Not Applicable

9. Does the firm request written disclosure of specific dates of all printed Publications, sales, offers for sale and/or public use of intellectual Property from a client, prior to filing of a patent applicable? Yes No

10. Does the firm request in writing, from all patent clients, the client's intent to pursue or not to pursue a foreign patent application? Yes No

11. Does the firm request in writing, from all patent clients, the client's disclosure of patent applications filed in foreign countries? Yes No

12. Does the firm advise foreign clients of requirements needed to satisfy the Establishment of the date of invention of U.S. Patents? Yes No

13. Does the firm disclose in writing to all patent clients, all dates for Payment of maintenance fees, annual payments, or annuities to be paid by the client to keep an application or patent in force? ... Yes No

14. Does the firm advise the client in writing to mark the patented product with the appropriate patent number? Yes No

15. Indicate the percentage of the types of Patent Opinions rendered by the firm:

- a. Patentability %
- b. Infringement %
- c. Validity

16. For the types of patent opinions rendered, does the firm disclosure the Scope and extent of the search conducted that is the basis for the opinion? Yes No

17. Does the firm guarantee patent opinions rendered? Yes No

18. Does the firm disclose in writing to the client and require the client's written agreement regarding patent applications and strategies taken or to be taken with respect to the GATT Implementation Legislation of June 8, 1995? Yes No

Trademark Not Applicable

19. Does the firm's docket system advise regarding date for:

- a. response to all PTO actions? Yes No
- b. declaration of use after registration? Yes No
- c. statement of incontestability after registration? Yes No
- d. renewal of trademark? Yes No

20. Does for firm:

- a. perform searches of the records of the PTO for trademarks? Yes No
- b. search common law sources, such as publications and business indices for existing trademarks? Yes No
- c. statement of incontestability for registration? Yes No

d. renewal of trademark?

Yes No

21. Does the firm advise that the trademark search is not guaranteed against all common law sources?
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Yes No

22. Is transfer of ownership of trademark from one entity to another fully documented in writing?

Yes No

23. Are all trademark assignments promptly and properly recorded with the PTO?

Yes No

24. Does the firm advise the client in writing of the use of proper trademark notice?

Yes No

X _____
Signature of Owner, Partner, or Principal Title Date